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| APPLICATION NUMBER | FILING DATE 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|--------------------------|-----------------------|------------------------|
| 10/827,517 | 04/20/2004 | Masahiro Kato | 054791-5024 |

09629

MORGAN LEWIS & BOCKIUS LLP
 1111 PENNSYLVANIA AVENUE NW
 WASHINGTON, DC 20004

CONFIRMATION NO. 2755

FORMALITIES LETTER



OC000000013107041

Date Mailed: 06/30/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/05/2004 SHINASS1 00000030 500310 10827517

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 770.00 DA
 02 FC:1051 130.00 DA

Filing Date Granted**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:Total additional fee(s) required for this application is **\$900** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

RECEIVED

JUL 02 2004

MORGAN, LEWIS & BOCKIUS LLP

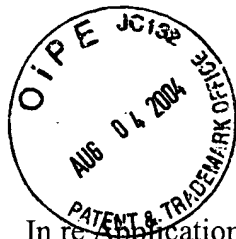
A copy of this notice MUST be returned with the reply.

K. Lee

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
ATTORNEY DOCKET NO.: 054791-5024

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Masahiro KATO et al.

Application No.: 10/827,517

Filed: April 20, 2004

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Group Art Unit: 2655

Examiner: Unassigned

For: INFORMATION RECORDING APPARATUS AND INFORMATION RECORDING METHOD

Commissioner of Patents
MAIL STOP MISSING PARTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed June 30, 2004.

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.

☒ The specification attached to the declaration is a copy of the specification as filed in the PTO to obtain the filing date.

☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document.

4. Small Entity Status

☐ Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

| Total months requested | Fee for extension | [fee for Small Entity] |
|---------------------------------------|-------------------|------------------------|
| <input type="checkbox"/> one month | \$ 110.00 | \$ 55.00 |
| <input type="checkbox"/> two months | \$ 420.00 | \$210.00 |
| <input type="checkbox"/> three months | \$ 950.00 | \$475.00 |
| <input type="checkbox"/> four months | \$1,480.00 | \$740.00 |
| <input type="checkbox"/> five months | \$2,010.00 | \$1,005.00 |

Extension of time fee due with this request: \$

If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicants believe that no extension of time is required. However, in the event applicants have inadvertently overlooked the need for a petition and fee for extension of time, applicants hereby petition for such an extension and request that any fee therefor be charged to our Deposit Account No. 50-0310.

6. Assignment

- ☒ An assignment of the invention to Pioneer Corporation and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

7. Fee Calculation

| | | | | | Fee Code |
|--|--------------|--------------------------|---------------------------------|----------|-----------|
| Basic Fee | | | | \$770.00 | 1001/2001 |
| | Number Filed | Number Extra | at a Rate of (Lg./Sm.Entity) | | |
| Total Claims | 12 - 20 = | | \$18/\$9 each= | \$ | 1202/2202 |
| Independent Claims | 2 - 3 = | | \$86/\$43 each= | \$ | 1201/2201 |
| Multiple dependent claim(s), if any | | | \$290/\$145 | \$ | 1203/2203 |
| SUB-TOTAL = | | | | \$770.00 | |
| Late Filing Surcharge Fee (37 C.F.R. § 1.16(e)) | | | \$130/\$65 | \$130.00 | 1051/2051 |
| Extension Fee (37 C.F.R. § 1.17(a)(1)-(5)) | | \$110/\$55 (1 Month) | | | 1251/2251 |
| | | \$420/\$210 (2 Months) | | | 1252/2252 |
| | | \$950/\$475 (3 Months) | | | 1253/2253 |
| | | \$1480/\$740 (4 Months) | | | 1254/2254 |
| | | \$2010/\$1005 (5 Months) | | \$ | 1255/2255 |
| SUB-TOTAL = | | | | \$900.00 | |
| Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(i) and § 1.52(d)) | | | \$130.00 | \$ | 1053 |
| Assignment Recordation Fee | | | \$ 40.00 | \$40.00 | 8021 |
| TOTAL FILING FEE = | | | | \$940.00 | |

8. Fee Payment


- ☒ The Commissioner is hereby authorized to charge the total fee due in the amount of **\$940.00** to Deposit Account 50-0310.
- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

9. Additional papers enclosed.

- ☐ Preliminary Amendment
- ☒ Submission of Replacement Drawings 12 sheets of drawings; 14 figures
- ☒ Claim for Priority
- ☒ Certified copy of priority document: Japanese Patent Application No. 2003-116159 filed April 21, 2003
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449, _ references as listed
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Other:

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP



John G. Smith Reg. No. 33,818

Date: August 4, 2004

CUSTOMER NO. 09629

MORGAN, LEWIS & BOCKIUS LLP

1111 Pennsylvania Avenue, N.W.

Washington, D.C. 20004

Telephone: (202) 739-3000

Facsimile: (202) 739-3001